

Small, yet full  
of potential

## Nippon India Small Cap Fund

(An open ended equity scheme predominantly investing in small cap stocks)

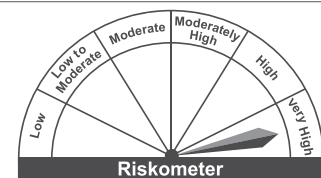
**Current scheme philosophy aims to :**  
Invest in companies with long term growth potential available at reasonable Valuations

Contact your Mutual Fund Distributor | Customer Care: 1860 266 0111<sup>#</sup>, 91-22-68334800<sup>#</sup> (For investors outside India) | Visit [mf.nipponindiaim.com](http://mf.nipponindiaim.com)

**This product is suitable for investors who are seeking\***

- Long term capital growth.
- Investment in equity and equity related securities of small cap companies.

\*Investors should consult their financial advisors if in doubt about whether the product is suitable for them.

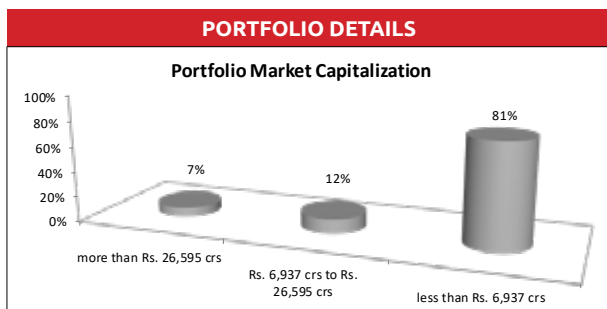


Investors understand that their principal will be at Very High risk

<sup>#</sup>Charges applicable.

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

SCHEME DETAILS	
<b>Inception Date</b>	• 16th September 2010
<b>Fund Size</b>	• Month end AUM: Rs. 10,916.19 Crs (Dec 2020)
<b>Scheme Type</b>	• An open-ended equity scheme predominantly investing in small cap stocks
<b>Benchmark</b>	• NIFTY Smallcap 250 TRI
<b>Fund Managers</b>	• Samir Rachh
<b>Entry Load</b>	• Not Applicable
<b>Exit Load</b>	10% of the units allotted shall be redeemed without any exit load, on or before completion of 12 months from the date of allotment of units. Any redemption in excess of such limit in the first 12 months from the date of allotment shall be subject to the following exit load. Redemption of units would be done on First in First out Basis (FIFO): <ul style="list-style-type: none"> <li>• 1% if redeemed or switched out on or before completion of 12 months from the date of allotment of units</li> <li>• Nil, thereafter.</li> </ul>



TOP 10 STOCKS	WEIGHTAGE (%)
Deepak Nitrite Limited	4.52
Navin Fluorine International Limited	2.90
Tube Investments of India Limited	2.87
CreditAccess Grameen Limited	2.49
Affle (India) Limited	2.43
Orient Electric Limited	2.24
Bajaj Electricals Limited	2.18
Tata Consumer Products Limited	2.16
Indian Energy Exchange Limited	2.02
Dixon Technologies (India) Limited	2.00

**Note:** For complete portfolio, please refer website mf.nipponindiaim.com. Current Portfolio Allocation is based on the prevailing market conditions and is subject to changes depending on the fund manager's view of the equity markets. Sector(s) / Stock(s) / Issuer(s) mentioned above are for the purpose of disclosure of the portfolio of the Scheme(s) and should not be construed as recommendation.

### TOP 5 SECTORS

	Software	11.3%
	Chemicals	11.1%
	Consumer Non Durables	10.4%
	Finance	8.3%
	Consumer Durables	7.6%

### CURRENT INVESTMENT PHILOSOPHY

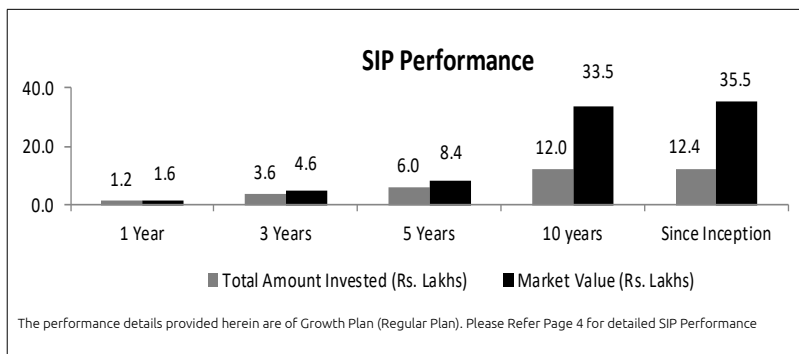
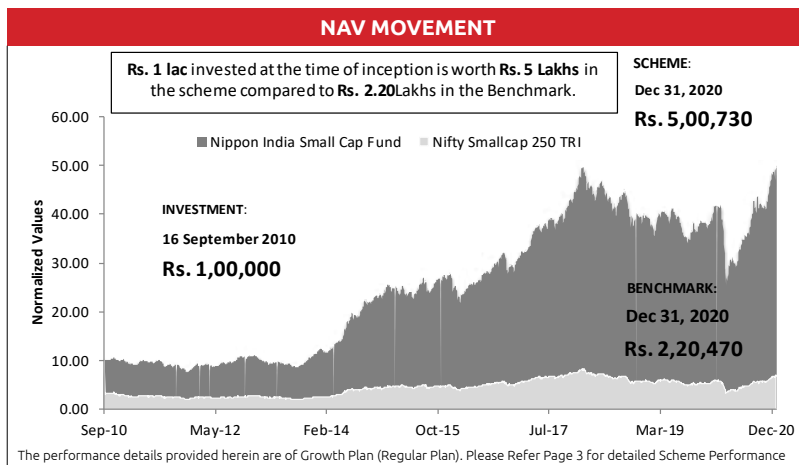
The fund attempts to generate relatively better risk adjusted returns by focusing on the smaller capitalization companies. Small cap stocks, for the purpose of the fund are defined as stocks whose market capitalization is below top 250 companies in terms of full market capitalization.

Small cap companies are potential mid caps of tomorrow and offer twin advantage of high growth prospects & relatively lower valuation. The fund focuses on identifying good growth businesses with reasonable size, quality management and rational valuation.

The investment approach adopts prudent risk management measures like margin of safety and diversification across sectors & stocks with a view to generate relatively better risk adjusted performance over a period of time.

### WHY INVEST

- Small Cap space can potentially outperform over the long term especially during sustained uptrends.
- Strong in-house research a key differentiator to identify long term winners and NIMF has an established track record of over 25 years in managing equity strategies.
- Portfolio has optimal mix of Growth, Economic Revival, Value & Thematic plays.



### Dividend History

Record Date	Rate (Re/ Unit)	Cum Dividend NAV	*EX Dividend NAV
07-Feb-18	3.00	35.9501	32.9501
28-Mar-16	1.20	19.8299	18.6299
24-Mar-15	2.00	21.3040	19.3040
31-Jan-14	1.00	11.6954	10.6954

**Disclaimer pertaining to SIP Returns: Past performance may or may not be sustained in the future.** Returns on SIP and Benchmark are annualised and cumulative investment return for cash flows resulting out of uniform and regular monthly subscriptions have been worked out on excel spreadsheet function known as XIRR. It is assumed that a SIP of Rs. 10,000/- each executed on 10th of every month for Growth Plan (Regular Plan) has been taken into consideration including the first installment. It may please be noted that load has not been taken into consideration. The amounts invested in SIP and the market values of such investments at respective periodic intervals thereof are simulated for illustrative purposes for understanding the concept of SIP. This illustration should not be construed as a promise, guarantee or a forecast of any minimum returns. The Mutual Fund or the Investment Manager does not assure any safeguard of capital and the illustrated returns are not necessarily indicative of future results and may not necessarily provide a basis for comparison with other investments. SIP does not guarantee or assure any protection against losses in declining market conditions.

**Disclaimer pertaining to Dividend History: Past performance may or may not be sustained in the future.** Dividend distribution is subject to availability & adequacy of distributable surplus. The Mutual Fund is not assuring that it will make periodical dividend distributions, though it has every intention of doing so. After the payment of dividend, the per unit NAV falls to the extent of the dividend payout and distribution taxes, if any. Performance of dividend plan/option would be net of applicable statutory levy, if any. Face value of Rs.10. \* NAV on the 1st transaction day after Record Date, which includes the mark to market impact also. The above table provides dividend details of Dividend Plan (Regular Plan).

Stamp duty @ 0.005% of the transaction value would be levied on applicable mutual fund transactions, with effect from July 01, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase/switch-in transactions (including dividend reinvestment) to the unitholders would be reduced to that extent. Kindly refer notice cum addendum no. 30, dated June 30, 2020.

**General Disclaimer:** Data as on December 31, 2020. **Past Performance may or may not be sustained in future.** Sector(s) / Stock(s) / Issuer(s) mentioned above are for the purpose of disclosure of the portfolio of the scheme(s) and should not be construed as a recommendation. The Fund manager(s) may or may not choose to hold the stock mentioned, from time to time. Investors are requested to consult their financial, tax and other advisors before taking any investment decision(s).

**SCHEME PERFORMANCE SUMMARY**

**Nippon India Small Cap Fund**

**NAV as on December 31, 2020: ₹ 50.0731**

Particulars	CAGR %			
	1 Year	3 Years	5 Years	Since Inception
Nippon India Small Cap Fund	29.15	1.62	12.53	16.93
B:Nifty Smallcap 250 TRI	26.38	-4.67	6.82	7.98
AB:S&P BSE Sensex TRI	17.11	13.22	14.25	10.64
<b>Value of ₹ 10,000 Invested</b>				
Nippon India Small Cap Fund	12,924	10,496	18,057	50,073
B:Nifty Smallcap 250 TRI	12,646	8,661	13,916	22,047
AB:S&P BSE Sensex TRI	11,716	14,530	19,479	28,341
<b>Inception Date: Sep 16, 2010</b>				
<b>Fund Manager: Samir Rachh (Since Jan 2017)</b>				

**Note:** Different plans shall have a different expense structure. The performance details provided herein are of Growth Plan (Regular Plan).

**PERFORMANCE OF OTHER OPEN ENDED SCHEMES MANAGED BY THE SAME FUND MANAGERS (as on December 31, 2020)**

**Fund Manager: Samir Rachh**

**Note:**

- Mr. Samir Rachh manages 1 open-ended schemes of Nippon India Mutual Fund .
- In case the number of schemes managed by a fund manager is more than six, in the performance data of other schemes, the top 3 and bottom 3 schemes managed by fund manager has been provided herein.
- Period for which scheme's performance has been provided is computed basis last day of the month-end preceding the date of advertisement
- Different plans shall have a different expense structure. The performance details provided herein are of Growth Plan (Regular Plan).

**Note:** Performance as on December 31, 2020

B: Benchmark, AB: Additional Benchmark, TRI: Total Return Index

**Past performance may or may not be sustained in future** and the same may not necessarily provide the basis for comparison with other investment. Performance of the schemes (wherever provided) are calculated basis CAGR for the past 1 year, 3 years, 5 years and since inception. Dividends (if any) are assumed to be reinvested at the prevailing NAV. In case, the start/end date of the concerned period is non-business day (NBD), the NAV of the previous date is considered for computation of returns. Schemes which have not completed one year, performance details for the same are not provided. Performance details of closed ended schemes are not provided since these are not comparable with other schemes. TRI - Total Returns Index reflects the returns on the index arising from (a) constituent stock price movements and (b) dividend receipts from constituent index stocks, thereby showing a true picture of returns. In the performance of other open ended schemes managed by the fund managers, the performance of the equity schemes is benchmarked to the Total Return variant of the Index.

**SIP PERFORMANCE OF EQUITY SCHEMES (as on December 31, 2020)**

<b>Nippon India Small Cap Fund</b>						
<b>Period</b>	<b>Since Inception</b>	<b>15 Years</b>	<b>10 Years</b>	<b>5 Years</b>	<b>3 Years</b>	<b>1 Year</b>
Total Amount Invested (Rs.)	1,240,000	-	1,200,000	600,000	360,000	120,000
Market Value (Rs.)	3,545,225	-	3,347,183	841,946	458,755	161,194
Scheme Return (%)	19.31	-	19.55	13.64	16.64	73.07
B: S&P BSE Small Cap TRI Return (%)	11.20	-	11.46	8.27	13.52	77.99
AB: S&P BSE Sensex TRI Return (%)	13.38	-	13.65	16.36	18.99	57.83

**Inception Dates:** Nippon India Small Cap Fund - 16/09/2010

B: Benchmark, AB: Additional Benchmark, TRI: Total Return Index

TRI - Total Returns Index reflects the returns on the index arising from (a) constituent stock price movements and (b) dividend receipts from constituent index stocks, thereby showing a true picture of returns.

**Disclaimer: Past performance may or may not be sustained in the future.** Returns on SIP and Benchmark are annualised and cumulative investment return for cash flows resulting out of uniform and regular monthly subscriptions have been worked out on excel spreadsheet function known as XIRR. It is assumed that a SIP of Rs. 10,000/- each executed on 10th of every month for Growth Plan (Regular Plan) for Growth Plan (Regular Plan) has been taken into consideration including the first installment. It may please be noted that load has not been taken into consideration. The amounts invested in SIP and the market values of such investments at respective periodic intervals thereof are simulated for illustrative purposes for understanding the concept of SIP. This illustration should not be construed as a promise, guarantee on or a forecast of any minimum returns. The Mutual Fund or the Investment Manager does not assure any safeguard of capital and the illustrated returns are not necessarily indicative of future results and may not necessarily provide a basis for comparison with other investments. SIP does not guarantee or assure any protection against losses in declining market conditions.



**Mutual Fund Investments are subject to market risks, read all scheme related documents carefully.**